

客戶資料更改表格 (公司或合夥企業客戶)

CHANGE OF CLIENT INFORMATION FORM (CORPORATE OR PARTNERSHIP ACCOUNT)

☐ 招商證券(香港)有限公司 China Merchants Securities (HK) Co., Limited

請勾選本指示適用於 Please tick the appropriate box: ☐ 證券交易服務 Securities Trading Service ☐ 股票期權交易服務 Stock Option Trading Service

☐ 招商期貨(香港)有限公司 China Merchants Futures (HK) Co., Limited

(以下統稱為「本公司」 Hereinafter collectively referred to as "our Company")

賬戶號碼 Account No. :	日期 Date:
賬戶名稱 Account Name :	

(請在適當空格內加上剔號並附上證明文件，如適用)(Please tick and attach supporting documents as appropriate)

PART 1 - 更改公司名稱 CHANGE OF COMPANY NAME

PART 2 - 更改公司地址 CHANGE OF COMPANY ADDRESS

☐ 更改公司地址 Change of Company Address:

國家或司法管轄區 Country or Jurisdiction:

☐ 更改通訊地址 Change of Correspondence Address:

☐ 同上 Same as above

☐ 其他(請說明) Other (Please specify)

國家或司法管轄區 Country or Jurisdiction:

PART 3 - 更改公司聯絡資料 CHANGE OF CONTACT DETAILS

☐ 更改公司聯絡號碼 Change of Contact No.

公司電話 Office Telephone No. :

()

傳真號碼 Fax No. :

()

☐ 更改聯絡人資料 Change of Contact Person Details

聯絡人 Contact Person : _____

電話 Telephone No. : _____

以下新電郵地址會取代任何之前已登記的電郵地址 The new email address below shall override any email address registered previously.

☐ 更改電郵地址 Change of Email Address

電郵地址 Email Address : _____

PART 4 - 更改董事 / 股東 / 交易人員 / 授權簽署人員 CHANGE OF DIRECTOR(S) / SHAREHOLDER(S) / AUTHORIZED TRADER(S) / AUTHORIZED SIGNER(S)

☐ 更改董事 Change of Director(s) : _____

☐ 更改股東 Change of Shareholder(s) : _____

☐ 更改交易人員 Change of Authorized Trader(s) : _____

☐ 更改授權簽署人員 Change of Authorized Signer(s) : _____

PART 5 - 更改指定銀行賬戶資料 Change of Designated Bank Account

*請提供銀行賬戶證明文件 Please provide bank account supporting documents

* 本公司一般不接受第三方銀行賬戶，只有在特殊並且合法和合理的情況下方可辦理。本公司保留所有權利拒絕辦理任何指定第三方銀行賬戶的指示。 Bank account of third party is generally not acceptable, which would only be processed under exceptional, legitimate and reasonable circumstances. Our Company reserve all rights not to process any instruction of designating a third party bank account.

☐ 刪除所有指定銀行賬戶 Delete all Designated Bank Account

☐ 新增以下指定銀行賬戶 Add below Designated Bank Account

☐ 刪除以下指定銀行賬戶 Delete below Designated Bank Account

Bank Name 銀行名稱:		Country or Jurisdiction of the Bank 銀行所在國家或司法管轄區:	
Account Name 賬戶名稱:			
Account No. 賬戶號碼:			
Currency 貨幣:	<input type="checkbox"/> HKD <input type="checkbox"/> CNY <input type="checkbox"/> USD <input type="checkbox"/> GBP <input type="checkbox"/> EUR <input type="checkbox"/> JPY <input type="checkbox"/> AUD <input type="checkbox"/> Multi-currency <input type="checkbox"/> Others: _____		
<input type="checkbox"/> 新增以下指定銀行 Add below Designated Bank Account		<input type="checkbox"/> 刪除以下指定銀行 Delete below Designated Bank Account	
Bank Name 銀行名稱:		Country or Jurisdiction of the Bank 銀行所在國家或司法管轄區:	
Account Name 賬戶名稱:			

Account No. 賬戶號碼:	
Currency貨幣:	<input type="checkbox"/> HKD <input type="checkbox"/> CNY <input type="checkbox"/> USD <input type="checkbox"/> GBP <input type="checkbox"/> EUR <input type="checkbox"/> JPY <input type="checkbox"/> AUD <input type="checkbox"/> Multi-currency <input type="checkbox"/> Others:_____
PART 5 - 更改簽署及/或公司印章 CHANGE OF SPECIMEN SIGNATURE(S)AND/OR COMPANY CHOP	
PART 6 - 更改交易確認或交收指示 CHANGE OF TRADE CONFIRMATION OR SETTLEMENT INSTRUCTION	
PART 7 - 其他 OTHERS	
客戶確認 Client Confirmation	
<p>本人/ 吾等謹此聲明本表格提供之所有資料信息，以及隨本表格附上之文件 (如適用) 均為真實及正確, 並充份反映本人/ 吾等之現況及與本人/ 吾等相關。本人/ 吾等將配合貴公司須進行的客戶盡職審查工作，包括但不限於在有需要時，提供貴公司要求的額外資料或文件。</p> <p>I/We hereby declare that the information provided in this form, as well as the documents attached (if applicable) is/ are true and correct, up-to-date and relevant to me/ us. I/ We shall cooperate with the customer due diligence work conducted by you, included but not limited to providing additional information or documents as requested by you when necessary.</p> <p>客戶經授權簽署及/或公司印章 Authorized Signature(s) and/or Company's Chop (請確保簽署樣式及公司印章與本公司紀錄相符 Please ensure the signature and company chop matches the records of our Company)</p>	
<div>S.V.</div>	

重要事項：閣下需負責提供所有有關資料予本公司，否則本公司無法開立及/或維持證券/期貨交易服務予閣下。閣下需不時提供額外資料予本公司作持續證券/期貨交易服務之用途。閣下有權查閱其戶口內個人資料的使用及目的，詳情請參閱證券賬戶協議附表IV中招商證券（香港）有限公司或招商期貨(香港)有限公司就《個人資料(私隱)條例》發出的通知。

Important Note: It is obligatory for you to supply our Company with all such information required by this document. Failure to do so may result in our Company being unable to open and/or maintain the securities/futures trading services for you. Furthermore, it may be necessary for you to supply to our Company with additional information from time to time in connection with our Company continuation of securities/futures trading services to you. For details concerning the purpose for which such information may be used, your right (applicable to individuals only) to request access to the information, etc., please refer to the Notice from China Merchants Securities (HK) Co., Limited or China Merchants Futures (HK) Co., Limited Relating to the Personal Data (Privacy) Ordinance per Schedule IV of the Securities Account Agreement or to be made available to you upon request.

假如任何資料更改會影響閣下於美國《海外帳戶稅收合規法案》下的身份及/或稅務居民身份，請分別提供合適的美國稅局表格、自我證明表格(實體客戶) 及/或自我證明表格(控權人) (如適用)。

Please provide appropriate Form-W issued by the Internal Revenue Service, Self-Certification Form (Entity) and/or Self-Certification Form (Controlling Person) (if applicable) if any change in circumstances affects your status under the Foreign Account Tax Compliance Act and/or tax residence respectively.

AE’s Signature and AE Code	Approved By Department Head or Responsible Officer (if applicable)
Name :	Name :
Signature :	Signature :
Date :	Date :
Input By OPS	Checked By OPS
Signature :	Signature:
Date :	Date :